



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1732
Examiner:
Inventors: Edwin J. Oakey and Rodney M. Tinney
Serial Number: 09/928,827
Filed: August 13, 2001
For: Method And Apparatus For Forming High-Impact, Transparent,
Distortion-Free Polymeric Materials

Patent No.
Issued:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention described in

- ☐ the specification filed herewith.
☒ the application whose serial number is set forth above.
☐ the patent set forth above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not likewise be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

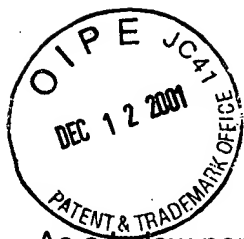
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

* Signature Ed J. Oakey
(Typed Name) Edwin J. Oakey

Date 11-7-01

* Signature R. M. Tinney
(Typed Name) Rodney M. Tinney

Date 11/08/01



DECLARATION AND POWER OF ATTORNEY

#3

Atty. Dkt. No.: 1543-000002

DECLARATION

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR FORMING HIGH-IMPACT, TRANSPARENT, DISTORTION-FREE POLYMERIC MATERIALS

the specification of which (check one)

- ☐ is attached hereto.
or
☒ was filed on August 13, 2001 as Application Serial No. or PCT International Application No. 09/928,827 and was amended on ___ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

| PRIOR FOREIGN APPLICATION(S) | | | | |
|------------------------------|---------|----------------------------|--------------------------|--------------------------|
| APPN. SERIAL NO. | COUNTRY | DATE FILED (MM/DD/YYYY) | PRIORITY CLAIM | |
| | | | Yes | No |
| | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> |

DECLARATION AND POWER OF ATTORNEY

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:


| PRIOR PROVISIONAL APPLICATION(S) | |
|----------------------------------|-------------------------|
| APPN. SERIAL NO. | DATE FILED (MM/DD/YYYY) |
| | |
| | |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below:


| PRIOR U.S. APPLICATION(S) | | |
|---------------------------|-------------------------|--|
| APPN. SERIAL NO. | DATE FILED (MM/DD/YYYY) | STATUS - PATENTED, PENDING, ABANDONED |
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY

I hereby appoint each practitioner at Customer No. 27572 () of Harness, Dickey & Pierce, P.L.C., my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

CORRESPONDENCE ADDRESS

I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Customer No. 27572 (), Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (248) 641-1600.

DECLARATION AND POWER OF ATTORNEY

Full name of sole or first inventor: Edwin J. Oakey

* Inventor's signature: Edwin J. Oakey
Date: 11-7-01

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Full name of second joint inventor, if any: Rodney M. Tinney

* Inventor's signature: [Signature]
Date: 11/08/01

Residence: 10317 County Rd. 1016, Burleson, Texas 76028

Citizenship: United States of America

Mailing Address: 10317 County Rd. 1016, Burleson, Texas 76028

Full name of third joint inventor, if any: NONE

Inventor's signature: _____

Date: _____

Residence: _____

Citizenship: _____

Mailing Address: _____

Full name of fourth joint inventor, if any: NONE

Inventor's signature: _____

Date: _____

Residence: _____

Citizenship: _____

Mailing Address: _____

Full name of fifth joint inventor, if any: NONE

Inventor's signature: _____

Date: _____

Residence: _____

Citizenship: _____

Mailing Address: _____